

**TRANSMITTAL  
FORM**

to be used for all correspondence after initial filing)

Application Number	09/030,985
Filing Date	February 26, 1998
First Named Inventor	Louis D. Faló, Jr.
Group Art Unit	1643
Examiner Name	n/a
Attorney Docket Number	214001-00648

Total Number of Pages in This Submission

13

**ENCLOSURES (check all that apply)**☒ Fee Transmittal Form☒ Fee Attached☐ Amendment/Response☐ After Final☐ Affidavits/declaration(s)☒ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☒ Response to Missing Parts/Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53☐ Assignment Papers  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69) and  
Accompanying Petition☐ To Convert a Provisional Application☐ Power of Attorney, Revocation Change of  
Correspondence Address☐ Terminal Disclaimer☒ Small Entity Statement☐ Request for Refund☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals  
and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Additional Enclosure(s)  
(please identify below):

- Declaration for Utility Patent Appln. (Faló)
- Declaration for Utility Patent Appln. (Celluzzi)

- Letter in Response to Notice to File Missing Parts
- Return copy of Form PTO-1533

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Diane R. Meyers Eckert Seamans Cherin & Mellott, LLC
Signature	
Date	September 18, 1998

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

9/18/98

Typed or printed name	Diane R. Meyers
Signature	
Date	9/18/98



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (12-97)  
Approved for use through 8/30/00. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL		Complete If Known		
<i>Note: Effective October 1, 1997. Patent fees are subject to annual revision.</i>		Application Number	09/030,985	
		Filing Date	February 26, 1998	
		First Named Inventor	Louis D. Falo, Jr.	
		Group Art Unit	1643	
		Examiner Name	n/a	
TOTAL AMOUNT OF PAYMENT	(\$)	959.00	Attorney Docket Number	214001-00648

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																												
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 02-2556</p> <p>Deposit Account Name: Arnold B. Silverman</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in Notice of Allowance</p>	<p>3. ADDITIONAL FEES</p> <table border="1"><thead><tr><th>Large Entity Fee Code</th><th>Small Entity Fee Code</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td></tr><tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td></tr><tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td></tr><tr><td>116</td><td>400</td><td>216</td><td>200</td></tr><tr><td>117</td><td>950</td><td>217</td><td>475</td></tr><tr><td>118</td><td>1,510</td><td>218</td><td>755</td></tr><tr><td>128</td><td>2,060</td><td>228</td><td>1,030</td></tr><tr><td>119</td><td>310</td><td>219</td><td>155</td></tr><tr><td>120</td><td>310</td><td>220</td><td>155</td></tr><tr><td>121</td><td>270</td><td>221</td><td>135</td></tr><tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td></tr><tr><td>141</td><td>1,320</td><td>241</td><td>660</td></tr><tr><td>142</td><td>1,320</td><td>242</td><td>660</td></tr><tr><td>143</td><td>450</td><td>243</td><td>225</td></tr><tr><td>144</td><td>670</td><td>244</td><td>335</td></tr><tr><td>122</td><td>130</td><td>122</td><td>130</td></tr><tr><td>123</td><td>50</td><td>123</td><td>50</td></tr><tr><td>126</td><td>240</td><td>126</td><td>240</td></tr><tr><td>581</td><td>40</td><td>581</td><td>40</td></tr><tr><td>146</td><td>790</td><td>246</td><td>395</td></tr><tr><td>149</td><td>790</td><td>249</td><td>395</td></tr></tbody></table>	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	105	130	205	65	127	50	227	25	139	130	139	130	147	2,520	147	2,520	112	920*	112	920*	113	1,840*	113	1,840*	115	110	215	55	116	400	216	200	117	950	217	475	118	1,510	218	755	128	2,060	228	1,030	119	310	219	155	120	310	220	155	121	270	221	135	138	1,510	138	1,510	140	110	240	55	141	1,320	241	660	142	1,320	242	660	143	450	243	225	144	670	244	335	122	130	122	130	123	50	123	50	126	240	126	240	581	40	581	40	146	790	246	395	149	790	249	395
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	<p>Other fee (specify) _____</p> <p>Other fee (specify) _____</p> <p>* Reduced by Basic Filing Fee Paid</p> <p>SUBTOTAL (3) (\$) 265</p>																																																																																																												

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Diane R. Meyers	Reg. Number	38,968
Signature		Deposit Account User ID	02-2556
Date	9/18/98		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In Re Application of

LOUIS D. FALO, ET AL.

Serial No. 09/030,985

Filed February 26, 1998

: INDUCTION OF TUMOR AND  
: VIRAL IMMUNITY USING  
: ANTIGEN PRESENTING CELL  
: CO-CULTURE PRODUCTS AND  
: FUSION PRODUCTS  
:  
: Attorney Docket No. 214001-00648

**LETTER IN RESPONSE TO  
NOTICE TO FILE MISSING PARTS**

415

September 18, 1998

Assistant Commissioner for Patents  
Washington, D.C. 20231

ATTENTION: Application Processing Division  
Box Missing Parts

Sir:

In response to the enclosed NOTICE TO FILE MISSING PARTS OF APPLICATION -- FILING DATE GRANTED, dated May 19, 1998, enclosed are two executed DECLARATIONS AND POWER OF ATTORNEY (one for Louis D. Faló, Jr. and one for Christina M. Celluzzi) for the captioned case. Also enclosed is a Petition for Two-Month Extension of Time, Fee Transmittal Sheet and our check in the amount of \$959.00 (which includes \$694.00 for the filing fee, \$65.00 surcharge and \$200.00 extension of time fee).

Please charge any additional fees or credit any overpayment to our Deposit Account No. 02-2556. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Diane R. Meyers  
Registration No. 38,968  
Eckert Seamans Cherin & Mellott, LLC  
600 Grant Street, 44th Floor  
Pittsburgh, PA 15219  
Attorney for Applicants

(412) 566-2036